AO 88A (Rev. 01/09) Subpoena to Testify at a Deposition or to Produce Documents in a Civil Action

## UNITED STATES DISTRICT COURT for the Central District of California

IN RE INTEL CORPORATION Cer

MICROPROCESSOR ANTITRUST LITIGATION

PHIL PAUL . on behalf of himself and all others similarly situated, Plaintiff v.

INTEL CORPORATION,

Defendant

MDL No. **05-1717-JJF** Civil Action No. **Consol.** CA. No. **05-485-JJF** 

(If the action is pending in another district, state where: District of Delaware

## SUBPOENA TO TESTIFY AT A DEPOSITION OR TO PRODUCE DOCUMENTS IN A CIVIL ACTION

)

Ingram Micro, Inc.

To: 1600 E. St. Andrew Place

Santa Ana, CA 92705-4926

**Testimony:** YOU ARE COMMANDED to appear at the time, date, and place set forth below to testify at a deposition to be taken in this civil action. If you are an organization that is **not** a party in this case, you must designate one or more officers, directors, or managing agents, or designate other persons who consent to testify on your behalf about the following matters, or those **set** forth in an attachment: See Schedule A, attached.

Place:	Sheppard Mullin Richter & Hampton LLP	Date and Time:
	650 Town Center Drive, 4th Floor	May 7,2009 at <b>9:00 a.m.</b>
	Costa Mesa, CA 92626-1993	Way 1,2000 at <b>0.00 a.m.</b>

The deposition will be recorded by this method: stenographic and videographic means

Production: You, or your representatives, must also bring with you to the deposition the following documents, electronically stored information, or objects, and permit their inspection, copying, testing, or sampling of the material:

The provisions of Fed. R. Civ. P. **45(c)**, relating to your protection as a person subject to a subpoena, and Rule 45 (d) and (e), relating to your duty to respond to this subpoena and the potential consequences of not doing so, are attached.

Date: <u>April 24, 2009</u>	CLERK OF COURT		
		OR	Saina m. Werlert
	Signature of Clerk or Deputy Clerk		Attorney's signature
The name, address, e-ma	il, and telephone number of the attorney 1	represent	ing (name of party)
Class Plaintiffs		,	who issues or requests this subpoena, are:
Laina M. Herbert (#4717	), Prickett, Jones & Elliott, P.A.		
1310 King Street, P.O. E	Box 1328, Wilmington, DE 19899-1328	(302) 8	388-6500
LMHerbert@prickett.co	m		

Civil Action No. MDL No. 05-1717-JJF; Consol. C.A. 05-485-JJF

### **PROOF OF SERVICE**

1	(This section	should not b	e filed with	h the court i	unless reat	uired bv	Fed. R	Civ. P.	45.)
- 1	11110 0000000								,

	DY (name of individual and title, if any)		
received by me on (a			
I personally s	erved the subpoena on the individual at (place)		
		On (date)	; or
🗇 I left the subp	ooenaat the individual's residence or usual place	ce of abode with (name)	
		f suitable age and discretion w	ho resides there
on (date)	, and mailed a copy to the indivi	dual's last known address; or	
I served the s	ubpoena on (name of individual)		, who is
designated by la	w to accept service of process on behalf of (name	me of organization)	
		on (date)	; or
I returned the	subpoena unexecuted because		;(
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Additional information regarding attempted service, etc:

### Federal Rule of Civil Procedure 45 (c), (d), and (e) (Effective 12/1/07)

(c) Protecting a Person Subject to a Subpoena.

(1) Avoiding Undue Burden or Expense; Sanctions. A party or attomcy responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The issuing court must enforce this duty and impose an appropriate sanction — which may include lost eamings and reasonable attorney's fees — on a party or attorney who fails to wmply.

#### (2) Command to Produce Materials or Permit Inspection.

(A) Appearance Not Required. A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.

(B) *Objections.* A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing or sampling any or all of the materials or ti? inspecting the premises — or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:

(i) At any time, on notice to the commanded person, the serving party may move the issuing court for an order compelling production or inspection.

(ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

(3) Quashing or Modifying a Subpoena

(A) *When Required*. On timely motion, the issuing court must quash or modify a subpoena that:

(i) fails to allow a reasonable time to comply;

(ii) requires a person who is neither a party nor a party's officer to travel more than 100 miles from where that person resides, is employed, or regularly transacts business in person — except that, subject to Rule 45(c)(3)(B)(iii), the person may be commanded to attend a trial by traveling from any such place within the state where the trial is held;

(iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or

(iv) subjects a person to undue burden.

**(B)** When Permitted. To protect a person subject to or affected by a subpoena, the issuing court may, on **motion**, **quash** or modify the subpoena if it requires:

(i) disclosing a trade secret or other confidential research, development, or commercial information;

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party; or

(iii) a person who is neither a party nor a party's officer to incur substantial expense to travel more than 100 miles to attend trial.

(C) *Specifying Conditions as an Alternative*. In the **circumstances** described in Rule 45(c)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if **the serving** party:

(i) shows a substantial need for the testimony or material that cannot be otherwise met without **undue** hardship; and

(ii) ensures that the subpoenaed person will be reasonably compensated.

(d) Duties in Responding to a Subpoena.

(1) *Producing Documents or Electronically Stored Information.* These procedures apply to producing documents or electronically stored information:

(A) Documents. A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or **must** organize and label them to correspond to the categories in the demand.

(B) Form for Producing Electronically Stored Information Not Specified. If a subpoena docs not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.

(*C*)*ElectronicallyStored Information Produced in Only One Form.* The person responding need not produce the same **electronically** stored information in more than one form.

(D) Inaccessible Electronically Stored Information. The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or wst. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

#### (2) Claiming Privilege or Protection.

(A)Information Withheld. A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:

(i) expressly make the claim; and

(ii) describe the nature of the withheld documents, communications, or **tangible** things in a manner hat, without revealing informationitself privileged or protected, will enable the parties to assess the claim.

(B) Information Produced. If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party mwt promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information to the court under seal for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(e) Contempt. The issuing court may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena. A nonparty's failure to obey must be excused if the subpoena purports to require the nonparty to attend or produce at a place outside the limits of Rule 45(c)(3)(A)(ii).

# Exhibit A

## **DEFINITIONS AND INSTRUCTIONS**

- 1. The term "MICROPROCESSOR means general purpose microprocessors using the x86 instruction set (*e.g.*, Sempron, Athlon, Turion, Opteron, Celeron, Pentium, Core, Core Duo, and Xeon).
- 2. The term "INGRAM MICRO refers to INGRAM MICRO, INC. and any of its controlled present or former subsidiaries, parents, and predecessor or successor companies.
- 3. The term "COMPUTER SYSTEM means any product that utilizes a MICROPROCESSOR including, without limitation, desktop computers, notebook computers and workstations.
- 4. The term "SALES DATA" refers to the data files that INGRAM MICRO produced in this litigation.
- 5. The relevant time period for these topics is January 1,2000 to the present.

## **DEPOSITION TOPICS**

- 1. The recordation, compilation, storage, and production of the data produced by INGRAM MICRO in connection with this litigation, including the system in which that data was stored.
- 2. The manner in which the data produced by **INGRAM MICRO** is maintained and prepared in the ordinary course of business.
- 3. The data that INGRAM MICRO produced in this litigation, including the definitions for all data fields, abbreviations or codes reflected as values in any data fields, the provision of formulas for all calculated fields, product identification, and the interaction among the datasets produced.
- 4. Explanation of the net acquisition cost of a product to INGRAM MICRO.
- 5. How to determine the net price paid by the customer for a given sale (net of all rebates, discounts, and credits at the time of the sale and after).
- 6. How to identify sales transactions within the SALES DATA and to further identify which sales were to customers in the United States.

- 7. Explanation of how vendor and consumer rebates are captured in the SALES DATA and identification of those adjustments in the data.
- 8. Identification in the SALES DATA of internal sales or transfers, product returns, credits and debits.
- 9. Explanation for incidents in the SALES DATA where multiple costs are associated with the same product on the same day.
- 10. Explanation of INGRAM MICRO's policy on sales at a loss (*e.g.*, sales where the unit price is less than the unit cost).
- 11. Explanation for the existence of a large price variation relative to a cost variation for many products.
- 12. The extent to which INGRAM MICRO bundles COMPUTER SYSTEMS with other products (*i.e.*, keyboards, software, peripherals, add-ons, etc.), how to identify such bundled products in the SALES DATA, and the impact of such bundling on INGRAM MICRO's pricing.